



***National Practitioner Data Bank-Healthcare Integrity
and Protection Data Bank (NPDB-HIPDB)***

**Integrated Querying and Reporting Service
(IQRS)**

User Review Panel (URP)

NPDB-HIPDB System Evolution

Aaron Hawes

November 9, 2005



NPDB-HIPDB System Evolution



- **September 2005 marked the National Practitioner Data Bank's (NPDB) 15th anniversary.**
- **The NPDB became operational September 1, 1990.**
- **From the early processing of paper-form queries in 1990, to today's internet-based, paperless system, it is amazing to review the progress that the Data Banks have made.**
- **The NPDB now processes over three million financial transactions a year for over 18,000 registered entities and 50,000 self-querying physicians.**
- **The NPDB has had many notable milestones throughout the last fifteen years.**



NPDB-HIPDB System Evolution



1990

- The NPDB opens on September 1, 1990.
- Reports accepted and disclosed on medical malpractice payments and adverse licensure, clinical privileges and professional society membership actions taken against practitioners.
- Hospitals, health care entities, and State licensing boards begin querying the NPDB.
- System is designed to be self-supporting through query fees.
- Queries and reports are submitted on paper forms and all query responses are printed and mailed.
- Average query response time is six weeks.

1991

- The NPDB processes 809,900 queries, an average of 16,000 names per week.



NPDB-HIPDB System Evolution



1992

- Electronic querying is introduced using new QPRAC software, version 1.0. Queries are submitted via modem or diskette; responses are returned on paper.
- Average query response time is reduced to one week.

1993

- Endorsing the value of NPDB data, the National Committee for Quality Assurance (NCQA) adopts an accreditation standard encouraging health maintenance organizations to query the NPDB.
- The Bureau of Health Professions (BHP) Division of Quality Assurance (DQA), which manages the NPDB, receives a 1993 Federal Leadership award for its efforts to use electronic data transmission to reduce paper processing by the NPDB.



NPDB-HIPDB System Evolution



1994

- Subject statements: A practitioner with a report in the NPDB may now add his or her own subject statement to the report, which will be disclosed to queriers.
- More than 1.5 million queries are processed this year, an average of 30,000 per week. More than half of all queries are electronic.
- Average query response time is reduced to two to three days.

1995

- All queries are processed electronically, except practitioner self-queries, which are still processed on paper.
- Total reports submitted to the NPDB exceed 100,000.



NPDB-HIPDB System Evolution



1996

- More than 2.7 million queries are processed this year, an average of 52,000 per week.
- Average query response time is six hours or less.
- Congress passes the Health Insurance Portability and Accountability Act of 1996 (HIPAA). This legislation is intended to combat fraud and abuse in health insurance and health care delivery. Among the major components of this program is the establishment of the Healthcare Integrity and Protection Data Bank (HIPDB).

1997

- Due to the NPDB's success, the U.S. Department of Health and Human Services (HHS) Office of Inspector General asks DQA to design, develop and operate the HIPDB.



NPDB-HIPDB System Evolution



1998

- State licensing boards, hospitals, and other health care entities have queried the NPDB more than 15 million times since 1990.
- Reports submitted to the NPDB exceed 200,000.

1999

- For the first time, the NPDB begins accepting reports and single-name queries submitted through a secure internet site using the new Integrated Querying and Reporting Service (IQRS).
- HIPDB opens for reporting.



NPDB-HIPDB System Evolution



2000

- HIPDB opens for querying and NPDB turns 10 years old.
- More than 3.2 million NPDB queries are processed during the year, an average of six queries a minute, 24 hours a day, 365 days a year, or one query approximately every 10 seconds.
- Average query response time is reduced from six to four hours.
- Data Banks introduce the Interface Control Document (ICD) Transfer Program (ITP), an alternative to the IQRS for large-volume queriers and reporters who wish to interface their own data processing systems directly with the Data Banks to submit reports and queries.



NPDB-HIPDB System Evolution



2001

- Improvements are made to enable self-queriers to submit query data electronically through the NPDB-HIPDB's secure Web site.
- Self-queries are processed within 48 hours and self-query status can be tracked on-line.
- DQA becomes the Division of Practitioner Data Banks (DPDB).

2002

- DPDB receives an Electronic Government Trailblazer Award for the NPDB-HIPDB. This award highlights Federal, State, local, and international government programs that have successfully implemented the most innovative information systems in e-Government.
- The Data Banks introduce the on-line Report Response Service, providing practitioners the ability to submit subject statements on-line.



NPDB-HIPDB System Evolution



2003

- The Data Banks introduce on-line entity and authorized agent registration, replacing the paper registration forms and paper-based registration process. Registered users of the Data Banks reach 16,000.
- Credit card information can be stored and used for future IQRS queries.
- NPDB and HIPDB query fees reduced from \$5.00 per name to \$4.25 per name per Data Bank.
- Upgraded system architecture to reduce average query processing time to less than 2 hours.
- Credit card interface between the Data Banks and Mellon Bank was upgraded, allowing the Data Banks to submit the NPDB or HIPDB query reference number to end-user credit card companies. Participating end-user credit card companies may display the Data Bank query reference number on credit card statements.



NPDB-HIPDB System Evolution



February 2004

- The NPDB-HIPDB program is named an “Excellence.gov Top Five Award” finalist.
 - Excellence.gov was established to recognize the best practices in Federal Electronic Government (e-Gov) applications.
 - The awards are given to Federal organizations for their outstanding information technology (IT) achievements in the public service arena.

- Medical Malpractice Payment Report (MMPR) enhancements based on Center for Health Policy Studies (CHPS) recommendations.



NPDB-HIPDB System Evolution



April 2004

- Pre-Populate Certification information for IQRS transactions (non-administrators).
 - IQRS Administrators are NOT be able to take advantage of this new functionality – administrator accounts are frequently used by multiple users within a single entity. Because the Data Banks require accurate submitter information, certification information for administrator accounts are not pre-populated.

- Subject Database Duplicates.
 - When importing subjects or adding subjects to a subject database, the Data Banks inform the submitter of potential duplicate subjects.



NPDB-HIPDB System Evolution



June 2004

- IQRS Historical Summary Reports detailing previously submitted querying and reporting transactions.
- Bundled Multi-Name Query Responses.
 - Allow Data Bank users to specify when or when not to bundle multi-name query responses into combined files.

July 2004

- NPDB and HIPDB self-query fees reduced from \$10.00 per name to \$8.00 per name per Data Bank.



Historical Query Lookup



Example

**Looking up a Charge Receipt
From a Query Submitted in 2003**



Historical Query Lookup



Options - Microsoft Internet Explorer provided by SRA International

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Edit Discuss Print

OPTIONS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Query Options

- Query
- View Query Response
- View Billing History
- View Historical Queries

Report Options

- Report
- View Report Output
- View Historical Reports

Maintenance Options

- Maintain Subject Database
- Update User Account

End Session & Return to Login

Local intranet





Historical Query Lookup



Historical Query Selection - Microsoft Internet Explorer provided by SRA International

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HISTORICAL QUERY SELECTION National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

To view a summary of queries submitted, narrow your search criteria as much as possible by completing the following filtering options below. The Type of Subject, Submission Date Range, and Status fields are all required. **Note:** Only queries submitted on or after 10/22/1999 will be displayed. [Help ?](#)

Type of Subject (Choose between individual or organization subjects. Subject name is optional and may be omitted.)

Individual Subject(s)
Last Name First Name

Organization Subject(s) (HIPDB Only)
Name of Organization

AND

Submission Date Range (MMDDYYYY)

From: To:

AND

Status

Completed Rejected Both

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Historical Query Lookup



Historical Query Summary - Microsoft Internet Explorer provided by SRA International

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Edit Discuss Print

HISTORICAL QUERY SUMMARY National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Name: TEST ENTITY (3333333333333333) [Help ?](#)

The following entries provide a summary of query submissions based upon the specified selection criteria listed below. Click a link in the Charge columns to view a charge receipt.

Type of Subject: Individual
Submission Date Range: 08/01/2003 - 10/01/2003
Status: Completed and Rejected

Historical Query Summary

Subject Name	Date Submitted	Status	Query DCN	Batch DCN	HIPDB Charge	NPDB Charge
CUTTER, ARTHUR	09/16/2003	Completed	7950000030805500	7950000030805500		N9690977
SMITH, JOHN	08/27/2003	Completed	7950000030804811	7950000030804800		N9690612
PAN, PETER	08/27/2003	Completed	7950000030804810	7950000030804800		N9690612
NUFFENBURGERTON-SMITH, ALEXANDRIA	08/27/2003	Completed	7950000030804809	7950000030804800		N9690612
DOE, JOSIE	08/27/2003	Completed	7950000030804808	7950000030804800		N9690612
COSTANZAMEYER, GEORGE	08/27/2003	Completed	7950000030804807	7950000030804800		N9690612
GRANGER, HERMIONE	08/21/2003	Completed	7950000030804765	7950000030804765		N9690600

[Return to Previous Page](#) [Return to Options](#)

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Historical Query Lookup



Historical Query Summary - Microsoft Internet Explorer provided by SRA International

NPDB Charge Receipt -- DCN: 7950000030804800 - Microsoft Internet Explorer provided by SRA International

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National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank
P.O. Box 10832
Chantilly, VA 20153-0832
<http://www.npdb-hipdb.com>

DCN: 7950000030804800
Process Date: 08/27/2003
For authorized use by:
TEST ENTITY

NPDB CHARGE RECEIPT

(THIS IS NOT A BILL)

A. ENTITY INFORMATION

Data Bank Identification Number (DBID):	3333333333333333
Entity Name:	TEST ENTITY
Address:	4350 FAIR LAKES CT. TWO AND THREE FAIRFAX, VA 22033
City, State, ZIP:	FAIRFAX, VA 22033
Payment Method:	VISA
Account Number:	XXXXXXXXXXXX1111
Expiration Date:	02/2004
Cardholder's Name:	TEST ENTITY
Cardholder's Billing Address:	123 SOME ST SOME CITY, VA 22222
City, State, ZIP:	SOME CITY, VA 22222
Transaction Date (Date Queried):	08/27/2003
Transaction Number:	7950000030804800

B. CHARGES

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Historical Query Lookup



Historical Query Summary - Microsoft Internet Explorer provided by SRA International

NPDB Charge Receipt -- DCN: 7950000030804800 - Microsoft Internet Explorer provided by SRA International

File Edit View Favorites Tools Help

Back

Date Charged: 08/27/2003

Reference Number: N9690612

Number of Subjects in Query: 5

Number of Subjects Charged in this Receipt: 5

Number of Subjects Charged Separately: 0

Number of Subjects Not Processed: 0

Fee per Subject: \$4.25

TOTAL NPDB CHARGE: \$21.25

C. SEARCH RESULTS

Subject Name	Results	Fee
COSTANZAMYER, GEORGE	RESULTS RETURNED	\$4.25
DOE, JOSIE	RESULTS RETURNED	\$4.25
NUFFENBURGERTON-SMITH, ALEXANDRIA	RESULTS RETURNED	\$4.25
PAN, PETER	RESULTS RETURNED	\$4.25
SMITH, JOHN	RESULTS RETURNED	\$4.25
Total Charge:		\$21.25

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Done





Historical Report Lookup



Example Lookup Previously Submitted Reports



Historical Report Lookup



Options - Microsoft Internet Explorer provided by SRA International

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Back Forward Stop Refresh Home Search Favorites History Mail Edit Discuss Print

OPTIONS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Query Options

- Query
- View Query Response
- View Billing History
- View Historical Queries

Report Options

- Report
- View Report Output
- View Historical Reports

Maintenance Options

- Maintain Subject Database
- Update User Account

End Session & Return to Login

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Historical Report Lookup

Historical Report Selection - Microsoft Internet Explorer provided by SRA International

HISTORICAL REPORT SELECTION National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

To view a summary of reports submitted, narrow your search criteria as much as possible by completing the following filtering options below. The Type of Subject, Submission Date Range, Status and Type of Report fields are all required. **Note:** Only reports submitted on or after 10/22/1999 will be displayed. [Help ?](#)

Type of Subject (Choose between individual or organization subjects. Subject name is optional and may be omitted.)

Individual Subject(s)
Last Name First Name

Organization Subject(s) (HIPDB Only)
Name of Organization

AND

Submission Date Range (MMDDYYYY) (Report rejections may not be available after three months from the date of submission.)

From: To:

AND

Status

Completed Rejected Both

AND

Type of Report

Adverse Action Report (AAR)
 Medical Malpractice Payment Report (MMPR)
 Judgment or Conviction Report (JOCR)
 All





Historical Report Lookup

Historical Report Summary - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Edit Discuss Print

HISTORICAL REPORT SUMMARY National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Name: TEST ENTITY (3333333333333333) [Help ?](#)

The following entries provide a summary of report submissions based upon the specified selection criteria listed below. Click a link in the DCN column to view a copy of an active report. Click a link in the Current Report Status column to view a report's history. Refer to **Help** for additional information.

Type of Subject: Organization -- Healthy Hospital
 Submission Date Range: 10/01/2002 - 10/01/2003
 Status: Completed and Rejected
 Report Type: All

Historical Report Summary

Subject Name	Date Submitted	Status	DCN	Data Bank(s)	Report Type	Current Report Status
HEALTHY HOSPITAL	09/12/2003	Completed	7950000030807041	HIPDB	AAR Initial	Active
HEALTHY HOSPITAL	05/23/2003	Completed	7950000030806622	HIPDB	AAR Correction	Active
HEALTHY HOSPITAL	05/08/2003	Completed	7950000030805559	HIPDB	AAR Initial	Inactive
HEALTHY HOSPITAL	11/16/2002	Completed	7950000030805030	HIPDB	AAR Revision	Active

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Historical Report Lookup

Historical Report Summary - Microsoft Internet Explorer

View Report Number: 7950000030807041 - Microsoft Internet Explorer

File Edit View Favorites Tools Help

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National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank
 P.O. Box 10832
 Chantilly, VA 20153-0832
<http://www.npdb-hipdb.com>

Process Date: 09/12/2003
 Print Date: 02/07/2004

HISTORICAL

Name: TES

The following listed below.
 Report Status:

Type of Subject Submission Status: Report Type

ADVERSE ACTION REPORT

STATE LICENSURE ACTION

Report Number: 7950000030807041

The National Practitioner Data Bank
 The Healthcare Integrity and Protection Data Bank

The information contained in this report is maintained by the Healthcare Integrity and Protection Data Bank for restricted use under the provisions of Section 1128E of the Social Security Act, and 45 CFR Part 61. All information is confidential and may be used only for the purpose for which it was disclosed. For additional information or clarification, contact the reporting entity identified in Section A.

Subj

HEALTHY P
 HEALTHY P
 HEALTHY P
 HEALTHY P

A. REPORTING ENTITY

Entity Name: TEST ENTITY
Address: 4300 FAIR LAKES CTR
 SUITE 3-600
City, State, ZIP: FAIRFAX, VA 22033
Country:

Entity Internal Report Reference (e.g., claim number):

Name or Office: TEST TESTER
Title or Department: DIRECTOR OF TEST
Telephone: (703) 555-1332

Type of Report: INITIAL
Status of Report: ACTIVE

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Historical Report Lookup



Historical Report Summary - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Edit Discuss Print

HISTORICAL REPORT SUMMARY National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Name: TEST ENTITY (3333333333333333) [Help ?](#)

The following entries provide a summary of report submissions based upon the specified selection criteria listed below. Click a link in the DCN column to view a copy of an active report. Click a link in the Current Report Status column to view a report's history. Refer to **Help** for additional information.

Type of Subject: Organization -- Healthy Hospital
Submission Date Range: 10/01/2002 - 10/01/2003
Status: Completed and Rejected
Report Type: All

Historical Report Summary

Subject Name	Date Submitted	Status	DCN	Data Bank(s)	Report Type	Current Report Status
HEALTHY HOSPITAL	09/12/2003	Completed	7950000030807041	HIPDB	AAR Initial	Active
HEALTHY HOSPITAL	05/23/2003	Completed	7950000030806622	HIPDB	AAR Correction	Active
HEALTHY HOSPITAL	05/08/2003	Completed	7950000030805559	HIPDB	AAR Initial	Inactive
HEALTHY HOSPITAL	11/16/2002	Completed	7950000030805030	HIPDB	AAR Revision	Active

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Historical Report Lookup



Historical Report Summary - Microsoft Internet Explorer

Report History Detail - Microsoft Internet Explorer provided by SRA International

Report History Detail

Report History

	DCN	Previous Report DCN	Report	Type	Transaction Date
●	7950000030805030		AAR	Revision	11/16/2002

Active Related Reports

	DCN	Related Report DCN	Report	Type	Transaction Date
	7950000030804992	7950000030805030	AAR	Correction	10/03/2002

Close

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NPDB-HIPDB System Evolution



September 2004

- Division of Practitioner Data Banks (DPDB) becomes Practitioner Data Banks Branch (PDBB).
- Added Individual Taxpayer Identification Number (ITIN) to HIPDB Reports.
- IQRS architecture upgraded to increase IQRS reliability.

November 2004

- Reduced Number of Pages in a Query Response.
- Subject Import Enhancements.
 - Import preview.
 - Identify the status of each imported subject (complete/incomplete, and provide reasons why incomplete).
 - New import file format (XML) provides new features.



Shorter Query Responses



Example

Reducing Number of Pages in a Query Response



Shorter Query Responses



Options - Microsoft Internet Explorer

File Edit View Favorites Tools Help

OPTIONS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Query Options

- Query
- View Query Response
- View Billing History
- View Historical Queries

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- Report
- View Report Output
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Maintenance Options

- Maintain Subject Database
- Update User Account

End Session & Return to Login

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Shorter Query Responses

Query Status - Microsoft Internet Explorer

File Edit View Favorites Tools Help

QUERY STATUS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Click a DCN for your query results. Click Receipt to display the charge amount(s) for the associated DCN from the View Billing History Screen. To display the charge receipts for all of the listed DCNs, click **View Billing History** below. [Help ?](#)

Responses to each query will be available electronically within an average of 4 to 6 hours of receipt by the Data Bank(s). Under certain circumstances, additional processing may be required. Please do not re-submit your query on the subject in question, since this will result in duplicate transactions and duplicate fees. If you do not receive your response within 2-3 business days of submission, please call the NPDB-HIPDB Customer Service Center. Those items that require additional processing time are marked as Partially Completed. Those items marked as Pending have not yet been processed.

Submitter	DCN	Date Submitted	Names Queried	Status	HIPDB Charge	NPDB Charge	Billing History	Available Until
Peter Hewitt	5500000000000008	12/05/2004	3	Completed	\$8.50	\$8.50	Receipt	01/04/2005

[View Billing History](#)

[Return to Options](#) [End Session & Return to Login](#)

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Shorter Query Responses



Subjects Queried - Microsoft Internet Explorer

File Edit View Favorites Tools Help

SUBJECTS QUERIED National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

DCN: 550000000000000008 [Help ?](#)
Submitter: Peter Hewitt
Available Until: 01/04/2005

Select a subject name to obtain information or, if rejected, the reason for rejection. Those items marked as Pending have not yet been processed.

Subject Name	Status	# of NPDB Reports	# of HIPDB Reports	Date Viewed
ACCORD, ALICE	Completed	6	6	Not Viewed
JONES, TOM	Completed	0	0	Not Viewed
PATEL, DAN	Rejected			Not Viewed

[Return to Options](#) [End Session & Return to Login](#)

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Shorter Query Responses



Response to Request for Information Disclosure - Microsoft Internet Explorer

File Edit View Favorites Tools Help

DISCLOSURE NOTICE National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

The information you are about to access is released by the National Practitioner Data Bank (NPDB) for restricted use under the provisions of Title IV of Public Law 99-660, The Health Care Quality Improvement Act of 1986, as amended, and the Healthcare Integrity and Protection Data Bank (HIPDB) under the provisions of Section 1128E of the Social Security Act, as amended.

Title IV of Public Law 99-660, The Health Care Quality Improvement Act of 1986, as amended, established the NPDB as an information clearinghouse to collect and release certain information related to malpractice payment history and professional competence and conduct of physicians, dentists, and other licensed healthcare practitioners. Regulations governing the NPDB are codified at 45 CFR part 60.

Section 1128E of the Social Security Act was established by Section 221 (a) of Public Law 104-191, The Health Insurance Portability and Accountability Act of 1996, as amended. This legislation established the HIPDB to combat fraud and abuse in health care delivery and to improve the quality of patient care. Regulations governing the HIPDB are codified at 45 CFR part 61.

Responsibility for operating the NPDB resides with the U.S. Department of Health and Human Services, Health Resources Services Administration, Division of Practitioner Data Banks. Responsibility for operation of the HIPDB resides with the U.S. Department of Health and Human Services, Office of Inspector General, and the Health Resources Services Administration, Division of Practitioner Data Banks.

Reports from the NPDB and HIPDB contain limited summary information and should be used in conjunction with information from other sources in granting privileges, or in making employment affiliation, contracting or licensure decisions. NPDB/HIPDB responses may contain more than one report on a particular incident, if two or more actions were taken as a result of a single incident (e.g., exclusion from a Federal or State health plan and an adverse licensure action). The NPDB and HIPDB are flagging systems, and a report may be included for a variety of reasons that do not necessarily reflect adversely on the professional competence or conduct of the subject named in the report.

All information received from the NPDB and/or the HIPDB is considered confidential and must be used solely for the purpose for which it was disclosed. Under Section 552 (1)(3) of the Privacy Act of 1974, as amended, ANY PERSON WHO REQUESTS OR OBTAINS ANY RECORD CONCERNING AN INDIVIDUAL UNDER FALSE PRETENSES SHALL BE GUILTY OF A MISDEMEANOR AND FINED NO MORE THAN \$5,000 DOLLARS. Further, ANY PERSON WHO VIOLATES THE CONFIDENTIALITY PROVISIONS AS SPECIFIED IN TITLE IV OF PUBLIC LAW 99-660, AS AMENDED, IS SUBJECT TO A CIVIL MONEY PENALTY OF UP TO \$11,000 FOR EACH VIOLATION.

I certify that I understand and agree to use the information contained in the provided reports as outlined by the legislation and regulations governing the NPDB and HIPDB.

[Continue](#)

[Return to Options](#) [End Session & Return to Login](#)

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Shorter Query Responses



National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

P.O. Box 10832
Chantilly, VA 20153-0832

<http://www.npdb-hipdb.com>

DCN: 5500000000000009
Process Date: 12/05/2004
Page: 1 of 1
ACCORD, ALICE
For authorized use by:
PETER'S ENTITY
429700000074433

QUERY RESPONSE

A. SEARCH RESULT

Based on the subject identification information provided by you in Section B below, a search of the NPDB has located the following 6 report(s).

Type of Report(s)	Report Number(s)
Medical Malpractice Payment Report(s):	5500000000000100 5500000000000075 5500000000000076
Adverse Action Report(s):	5500000000000072 5500000000000073 5500000000000078

Recipients should verify that the subject identified in Section B is, in fact, the subject of interest.

B. SUBJECT IDENTIFICATION INFORMATION (INDIVIDUAL)

Subject Name: ACCORD, ALICE
 Gender: FEMALE
 Date of Birth: 01/01/1950
 Other Name(s) Used:
 Organization Name: THE MEDICAL ORGANIZATION
 Organization Type: MEDICAL GROUP/PRACTICE (365)
 Work Address: 123 SUNNYSIDE DRIVE
 OFFICE NUMBER 4125
 City, State, ZIP: FAIRFAX, VA 12121-7766
 Home Address: 123 HILL DRIVE
 APARTMENT NUM 101
 City, State, ZIP: QUEBEC 3H4-P3G
 Country: CANADA
 Social Security Numbers (SSN): 111-22-3333





Shorter Query Responses



Country:	CANADA
Social Security Numbers (SSN):	111-22-3333
Professional School(s) & Year of Graduation:	ACME MEDICAL SCHOOL (2000)
Occupation/Field of Licensure (Code):	PHYSICIAN (MD) (010)
State License Number, State of Licensure:	ABCDEFGH12345678, CA
Specialty:	
Drug Enforcement Administration (DEA) Numbers:	563452345234
National Provider Identifiers (NPI):	1234123412
Federal Employer Identification Numbers (FEIN):	123412341
Unique Physician Identification Numbers (UPIN):	235234

C. ENTITY INFORMATION

Data Bank Identification Number(DBID):	429700000074433
Entity Name:	PETER'S ENTITY
Authorized Agent:	
Authorized Submitter's Name:	PETER HEWITT
Authorized Submitter's Title:	CLERK
Authorized Submitter's Telephone:	(555) 555-0000 EXT.12345

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NPDB-HIPDB System Evolution



January 2005

- Querying and Reporting XML Service (QRXS).
 - A new service for reporters who wish to interface their data processing system directly with the Data Banks to submit reports and receive responses using the industry standard XML format.
 - Initially for Adverse Action Reports.
 - Advantages over ITP
 - Standards-based.
 - Client program with Application Programming Interface (API).
 - Can ensure XML files are correctly formatted before submission.
 - Real-time rejection response.
 - Advantages over IQRS
 - Can integrate Data Bank reporting into current system(s) to reduce data entry and realize other process improvement efficiencies.



NPDB-HIPDB System Evolution



April 2005

- Enhanced IQRS security.
 - User account password privacy.
 - Expiring grace login period.
 - Enforcing stronger passwords.
 - Customer Service Center can log in to IQRS as entity but with limited, view-only access.
 - For example
 - Cannot change data in subject database.
 - Cannot submit queries or reports.
- New Data Bank Correspondence appears on registration confirmation screens.



Data Bank Correspondence



Example

IQRS Administrator Receives Data Bank Correspondence



Data Bank Correspondence



Login - Microsoft Internet Explorer

LOGIN National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Welcome to the Integrated Querying and Reporting Service (IQRS)

Only specific entities authorized by law may request the disclosure of information from (i.e., query) or submit reports to the National Practitioner Data Bank (NPDB) or the Healthcare Integrity and Protection Data Bank (HIPDB).

Authorized entities must be registered with the appropriate Data Bank(s) and have received a confidential Data Bank Identification Number (DBID) and password prior to using this querying and reporting service.

Any unauthorized individual or organization that attempts to query or file reports with the Data Bank(s) is subject to fine and imprisonment under Federal statute.

If you are not authorized by law and registered to query or report to either the NPDB or the HIPDB, **DO NOT ATTEMPT TO ACCESS THIS SERVICE.**

Data Bank Identification Number

User ID

User Password

←

Getting Help

Click the Help icon to obtain information related to a screen.

Go to the NPDB-HIPDB home page.

HRSA

To ensure that all features of this site work properly, use one of the following supported browser versions:
Netscape 7.01, 7.02, [7.1](#), or Internet Explorer 5.5 SP2, 6.0 or [6.0 SP1](#).





Data Bank Correspondence



Entity Registration Confirmation - Microsoft Internet Explorer

ENTITY REGISTRATION CONFIRMATION National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Only specific entities authorized by law may request the disclosure of information from (i.e., query) or submit reports to the National Practitioner Data Bank (NPDB) or the Healthcare Integrity and Protection Data Bank (HIPDB). Authorized entities must be registered with the appropriate Data Bank(s) and have received a confidential Data Bank Identification Number (DBID) and password prior to using this querying and reporting service. Any unauthorized individual or organization that attempts to query or file reports with the Data Bank(s) is subject to fine and imprisonment under Federal statute. If you are not authorized by law and registered to query or report to either the NPDB or the HIPDB, **please log off now.** [Help ?](#)

Please confirm that the following information is correct:

UNITED MEDICAL ASSOCIATES
4350 FAIR LAKES CT.
SUITE 300
FAIRFAX, VA 22033
Telephone: (703) 555-1000

SECURITY NOTICE: Please read [this important information](#) regarding your role in protecting critical Data Bank information.

The above entity's current privileges are:

Query and Report to the Healthcare Integrity and Protection Data Bank, and
Query and Report to the National Practitioner Data Bank.

Last successful login date: APR 16, 2005 03:20PM

New Data Bank Correspondence

Date	Sender	Message
04/18/2005	CAMBRON CREDENTIALS, INC	Your entity-agent relation has been approved





Data Bank Correspondence



Entity Registration Confirmation - Microsoft Internet Explorer

query or report to either the NPDB or the HIPDB, please log on now.

Please confirm that the following information is correct:

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4350 FAIR LAKES CT.
SUITE 300
FAIRFAX, VA 22033
Telephone: (703) 555-1000

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Query and Report to the National Practitioner Data Bank.

Last successful login date: APR 16, 2005 03:20PM

New Data Bank Correspondence

Date	Sender	Message
04/18/2005	CAMBRON CREDENTIALS, INC	Your entity-agent relation has been approved
04/17/2005	NPDB-HIPDB	Thank you for submitting your Registration Renewal. It has been successfully processed by the NPDB-HIPDB.

Administrator Options **Continue**

End Session & Return to Login





Data Bank Correspondence



Administrator Options - Microsoft Internet Explorer

ADMINISTRATOR OPTIONS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Help ?

- Update Registration Profile
- Maintain IQRS Credit Cards
- Maintain Agent Information
- Authorize Electronic Funds Transfer (EFT)
- View Data Bank Correspondence** ←
- Maintain User Accounts

End Session & Return to Login Return to Registration Confirmation





Data Bank Correspondence



Data Bank Correspondence - Microsoft Internet Explorer

DATA BANK CORRESPONDENCE National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

View correspondence that appears as a link by clicking the title. Opened correspondence will be available for viewing for 30 days, at which point it is automatically deleted. [Help ?](#)

DATE	FROM	TITLE
04/18/2005	CAMBRON CREDENTIALS, INC	Your entity-agent relation has been approved
04/17/2005	NPDB-HIPDB	Thank you for submitting your Registration Renewal. It has been successfully processed by the NPDB-HIPDB.
04/12/2005	UNITED AGENTS, INC	Your entity-agent relation has been approved

[Return to Administrator Options](#)

New and old correspondence is still accessible.





Data Bank Correspondence



Administrator Options - Microsoft Internet Explorer

ADMINISTRATOR OPTIONS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Help ?

- Update Registration Profile
- Maintain IQRS Credit Cards
- Maintain Agent Information
- Authorize Electronic Funds Transfer (EFT)
- View Data Bank Correspondence
- Maintain User Accounts

End Session & Return to Login Return to Registration Confirmation





Data Bank Correspondence



Entity Registration Confirmation - Microsoft Internet Explorer

ENTITY REGISTRATION CONFIRMATION National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

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FAIRFAX, VA 22033
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Query and Report to the Healthcare Integrity and Protection Data Bank, and
Query and Report to the National Practitioner Data Bank.

Last successful login date: APR 16, 2005 03:20PM

[Administrator Options](#) [Continue](#)

[End Session & Return to Login](#)

Correspondence has been viewed so it is no longer "new".





NPDB-HIPDB System Evolution



July 2005

- QRXS expanded to support Medical Malpractice Payment and Judgment or Conviction reporters.

October 2005

- Sort capability for viewing the status of IQRS queries.
- New Field of Licensure codes.
 - 148 - Certified Nurse Aide/Certified Nursing Assistant.
 - 165 - Health Care Aide/Direct Care Worker.
 - 175 - Certified or Qualified Medication Aide.
 - 470 - Hearing Aid/Hearing Instrument Specialist.
- Annual ITP format updates.
 - ITP client proxy server support (www.npdb-hipdb.com/itp.html).
 - Individual Taxpayer Identification Number (ITIN).
 - New Field of Licensure codes.



NPDB-HIPDB System Evolution



Example

IQRS Query Status Sorting Feature



IQRS Query Status Sorting



Login - Microsoft Internet Explorer

File Edit View Favorites Tools Help

LOGIN National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Welcome to the Integrated Querying and Reporting Service (IQRS)

Only specific entities authorized by law may request the disclosure of information from (i.e., query) or submit reports to the National Practitioner Data Bank (NPDB) or the Healthcare Integrity and Protection Data Bank (HIPDB).

Authorized entities must be registered with the appropriate Data Bank(s) and have received a confidential Data Bank Identification Number (DBID) and password prior to using this querying and reporting service.

Any unauthorized individual or organization that attempts to query or file reports with the Data Bank(s) is subject to fine and imprisonment under Federal statute.

If you are not authorized by law and registered to query or report to either the NPDB or the HIPDB, **DO NOT ATTEMPT TO ACCESS THIS SERVICE.**

Data Bank Identification Number

User ID

User Password

To ensure that all features of this site work properly, use one of the following supported browser versions:
Netscape 7.02, 7.1, [7.2](#), or Internet Explorer 6.0, [6.0 SP1](#), or 6.0 SP2.

Getting Help

Click the Help icon to obtain information related to a screen.

Go to the NPDB-HIPDB home page.

HRSA

Local intranet





IQRS Query Status Sorting



ENTITY REGISTRATION CONFIRMATION National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

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Please confirm that the following information is correct:

CHILDRENS HOSPITAL
250 MAIN ST.
FAIRFAX, VA 22033
Telephone: (235) 234-2343

SECURITY NOTICE: Please read [this important information](#) regarding your role in protecting critical Data Bank information.

The above entity's current privileges are:

Query and Report to the National Practitioner Data Bank.

Last successful login date: APR 01, 2005 05:21PM

Administrator Options **Continue** **End Session & Return to Login**





IQRS Query Status Sorting



Options - Microsoft Internet Explorer

File Edit View Favorites Tools Help

OPTIONS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Query Options

- Query
- View Query Response
- View Billing History
- View Historical Queries

Report Options

- Report
- View Report Output
- View Historical Reports

Maintenance Options

- Maintain Subject Database
- Update User Account

End Session & Return to Login

Local intranet





IQRS Query Status Sorting

QUERY STATUS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Query responses are available for 30 days from the submission date.
Click a DCN for your query results. Click Receipt to display the charge amount(s) for the associated DCN from the View Billing History Screen. To display the charge receipts for all of the listed DCNs, click **View Billing History** below. You may sort results by Submitter, DCN, Date Submitted, Names Queried, or Status by clicking on the appropriate link.

Responses to each query will be available electronically within an average of 4 to 6 hours of receipt by the Data Bank(s). Under certain circumstances, additional processing may be required. Please do not re-submit your query on the subject in question, since this will result in duplicate transactions and duplicate fees. If you do not receive your response within 2-3 business days of submission, please call the NPDB-HIPDB Customer Service Center. Those items that require additional processing time are marked as Partially Completed. Those items marked as Pending have not yet been processed.

Submitter	DCN	Date Submitted	Names Queried	Status	HIPDB Charge	NPDB Charge	Billing History	Available Until
Bob Brown	799000000016672	08/20/2005	1	Completed	\$4.25	\$4.25	Receipt	09/19/2005
Bob Brown	799000000016660	08/18/2005		Rejected			Receipt	09/17/2005
Bob Brown	799000000016612	08/04/2005	3	Completed	\$12.75		Receipt	09/03/2005
Jane Johnson	799000000016680	08/21/2005		Pending				
Jane Johnson	799000000016632	08/07/2005		Pending				
Jane Johnson	799000000016614	08/04/2005	2	Completed	\$8.50	\$8.50	Receipt	09/03/2005
Tim Taylor	799000000016652	08/17/2005	1	Completed	\$4.25	\$4.25	Receipt	09/16/2005
Tim Taylor	799000000016633	08/07/2005	10	Completed	\$42.50	\$42.50	Receipt	09/06/2005
Tim Taylor	799000000016623	08/05/2005	5	Partially Completed	\$17.00	\$17.00	Receipt	09/04/2005
Tim Taylor	799000000016621	08/05/2005	1	Completed		\$4.25	Receipt	09/04/2005
Tim Taylor	799000000016600	08/02/2005	3	Partially Completed	\$8.50	\$8.50	Receipt	09/01/2005

[View Billing History](#)

Initially sorted by submitter.





IQRS Query Status Sorting



QUERY STATUS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

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Submitter	DCN	Date Submitted	Names Queried	Status	HIPDB Charge	NPDB Charge	Billing History	Available Until
Jane Johnson	799000000016680	08/21/2005		Pending				
Bob Brown	799000000016672	08/20/2005	1	Completed	\$4.25	\$4.25	Receipt	09/19/2005
Bob Brown	799000000016660	08/18/2005		Rejected			Receipt	09/17/2005
Tim Taylor	799000000016652	08/17/2005	1	Completed	\$4.25	\$4.25	Receipt	09/16/2005
Tim Taylor	799000000016633	08/07/2005	10	Completed	\$42.50	\$42.50	Receipt	09/06/2005
Jane Johnson	799000000016632	08/07/2005		Pending				
Tim Taylor	799000000016623	08/05/2005	5	Partially Completed	\$17.00	\$17.00	Receipt	09/04/2005
Tim Taylor	799000000016621	08/05/2005	1	Completed		\$4.25	Receipt	09/04/2005
Jane Johnson	799000000016614	08/04/2005	2	Completed	\$8.50	\$8.50	Receipt	09/03/2005
Bob Brown	799000000016612	08/04/2005	3	Completed	\$12.75		Receipt	09/03/2005
Tim Taylor	799000000016600	08/02/2005	3	Partially Completed	\$8.50	\$8.50	Receipt	09/01/2005

[View Billing History](#)

Local intranet





IQRS Query Status Sorting



QUERY STATUS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

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Submitter	DCN	Date Submitted	Names Queried	Status	HIPDB Charge	NPDB Charge	Billing History	Available Until
Jane Johnson	7990000000016680	08/21/2005		Pending				
Bob Brown	7990000000016672	08/20/2005	1	Completed	\$4.25	\$4.25	Receipt	09/19/2005
Bob Brown	7990000000016660	08/18/2005		Rejected			Receipt	09/17/2005
Tim Taylor	7990000000016652	08/17/2005	1	Completed	\$4.25	\$4.25	Receipt	09/16/2005
Tim Taylor	7990000000016633	08/07/2005	10	Completed	\$42.50	\$42.50	Receipt	09/06/2005
Jane Johnson	7990000000016632	08/07/2005		Pending				
Tim Taylor	7990000000016623	08/05/2005	5	Partially Completed	\$17.00	\$17.00	Receipt	09/04/2005
Tim Taylor	7990000000016621	08/05/2005	1	Completed		\$4.25	Receipt	09/04/2005
Jane Johnson	7990000000016614	08/04/2005	2	Completed	\$8.50	\$8.50	Receipt	09/03/2005
Bob Brown	7990000000016612	08/04/2005	3	Completed	\$12.75		Receipt	09/03/2005
Tim Taylor	7990000000016600	08/02/2005	3	Partially Completed	\$8.50	\$8.50	Receipt	09/01/2005

[View Billing History](#)

Local intranet





IQRS Query Status Sorting



QUERY STATUS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

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Tim Taylor	799000000016600	08/02/2005	3	Partially Completed	\$8.50	\$8.50	Receipt	09/01/2005
Jane Johnson	799000000016614	08/04/2005	2	Completed	\$8.50	\$8.50	Receipt	09/03/2005
Bob Brown	799000000016672	08/20/2005	1	Completed	\$4.25	\$4.25	Receipt	09/19/2005
Tim Taylor	799000000016652	08/17/2005	1	Completed	\$4.25	\$4.25	Receipt	09/16/2005
Tim Taylor	799000000016621	08/05/2005	1	Completed	\$4.25		Receipt	09/04/2005
Jane Johnson	799000000016680	08/21/2005		Pending				
Bob Brown	799000000016660	08/18/2005		Rejected			Receipt	09/17/2005
Jane Johnson	799000000016632	08/07/2005		Pending				

[View Billing History](#)

Done Local intranet





IQRS Query Status Sorting



QUERY STATUS National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

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Bob Brown	799000000016612	08/04/2005	3	Completed	\$12.75		Receipt	09/03/2005
Tim Taylor	799000000016623	08/05/2005	5	Partially Completed	\$17.00	\$17.00	Receipt	09/04/2005
Tim Taylor	799000000016600	08/02/2005	3	Partially Completed	\$8.50	\$8.50	Receipt	09/01/2005
Jane Johnson	799000000016680	08/21/2005		Pending				
Jane Johnson	799000000016632	08/07/2005		Pending				
Bob Brown	799000000016660	08/18/2005		Rejected			Receipt	09/17/2005

[View Billing History](#)

Done Local intranet





NPDB-HIPDB System Evolution



- The NPDB has processed over 36 million queries since 1991 and maintains over 380,000 reports. The NPDB continues to benefit the public by serving as an information clearinghouse to facilitate comprehensive peer review and keep incompetent practitioners from moving State to State to hide negative actions taken against them.
- The HIPDB has processed over 6.5 million queries since 2000 and maintains over 223,000 reports. The HIPDB continues to help combat fraud and abuse in health insurance and health care delivery by alerting users that a comprehensive review of a practitioner's, provider's, or supplier's past actions may be prudent.



NPDB-HIPDB System Evolution



Questions / Comments